

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

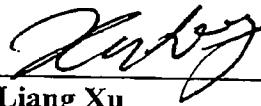
In re application of:	Confirmation No.: 1029
Wang <i>et al.</i>	Art Unit: 1617
Appl. No.: 10/729,156	Examiner: Hui, San Ming R.
Filed: December 5, 2003	Atty. Docket: UM-08477
For: Small Molecule Antagonists of BCL-2 Family Proteins	

**Statement Under 37 C.F.R. § 1.48(a)(2) of a Person
to be Added as an Inventor**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

I am an inventor of the invention of one or more claims in the above-captioned nonprovisional application for which an oath or declaration under 37 C.F.R. § 1.63 has been filed erroneously not naming me as an inventor. The inventorship error occurred without deceptive intent on my part. I have read and understand 37 C.F.R. § 10.18(b).

Signed: 
Liang Xu Date: 7/5/07

PATENT
Attorney Docket No.: **UM-08477**

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an/the original, first and/or joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Small Molecule Antagonists of BCL-2 Family Proteins**, the specification of which was filed on **12/05/2003** as Application Serial No. **10/729,156**. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to the patentability of this application as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b), of any foreign application(s) for patent or inventor's certificate(s), or 365(a) of any PCT international application, which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent, or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

PCT/US02/17206	PCT	05/30/2002	YES
Number	Country	Day/Month/Year Filed	Priority Claimed
I hereby claim the benefit under Title 35, United States Code, §§ 119, 120 and/or 365 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a), that occurred between the filing date of the prior application and the national or PCT international filing date of this application:			
10/158,769		05/30/2002	Pending
60/293,983		05/30/2001	Pending
Application Serial No.	Filing Date	Patented, Pending, or Abandoned	

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

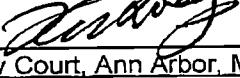
Full Name of Sole or First Inventor: **Shaomeng Wang**

Inventor's Signature: _____ Date: _____
Residence: 9343 Yorkshire Drive, Saline, MI 48176 Citizenship: United States of America
Post Office Address: 9343 Yorkshire Drive, Saline, MI 48176

Full Name of Second Joint Inventor: **Dajun Yang**

Inventor's Signature: _____ Date: _____
Residence: 13602 Gum Spring Drive, Rockville, MD 20850 Citizenship: United States of America
Post Office Address: 13602 Gum Spring Drive, Rockville, MD 20850

Full Name of Sole or Third Inventor: **Liang Xu**

Inventor's Signature:  Date: 7/5/07
Residence: 186 Wild Ivy Court, Ann Arbor, MI 48103 Citizenship: China
Post Office Address: 186 Wild Ivy Court, Ann Arbor, MI 48103

DECLARATION FOR PATENT APPLICATION

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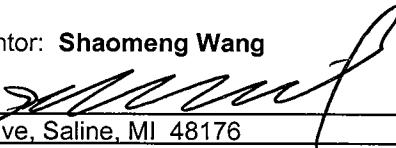
Number	PCT	05/30/2002	YES

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60/293,983	05/30/2001	Pending
<i>Application Serial No.</i>	<i>Filing Date</i>	<i>Patented, Pending, or Abandoned</i>

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: **Shaomeng Wang**

Inventor's Signature: 

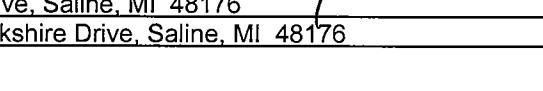
Date: **9-4-2007**

Residence: **9343 Yorkshire Drive, Saline, MI 48176**

Citizenship: **United States of America**

Post Office Address: **9343 Yorkshire Drive, Saline, MI 48176**

Full Name of Second Joint Inventor: **Dajun Yang**

Inventor's Signature: 

Date: _____

Residence: **13602 Gum Spring Drive, Rockville, MD 20850**

Citizenship: **United States of America**

Post Office Address: **13602 Gum Spring Drive, Rockville, MD 20850**

Full Name of Sole or Third Inventor: **Liang Xu**

Inventor's Signature: 

Date: _____

Residence: **186 Wild Ivy Court, Ann Arbor, MI 48103**

Citizenship: **China**

Post Office Address: **186 Wild Ivy Court, Ann Arbor, MI 48103**

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an/the original, first and/or joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Small Molecule Antagonists of BCL-2 Family Proteins**, the specification of which was filed on **12/05/2003** as Application Serial No.

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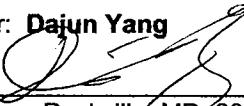
PCT/US02/17206	PCT	05/30/2002	YES
Number	Country	Day/Month/Year Filed	Priority Claimed
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60/293,983	05/30/2001		Pending
Application Serial No.	Filing Date	Patented, Pending, or Abandoned	

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: **Shaomeng Wang**

Inventor's Signature: _____ Date: _____
Residence: 9343 Yorkshire Drive, Saline, MI 48176 Citizenship: United States of America
Post Office Address: 9343 Yorkshire Drive, Saline, MI 48176

Full Name of Second Joint Inventor: **Daijun Yang**


Inventor's Signature: _____ Date: Sayt 10. 2007
Residence: 13602 Gum Spring Drive, Rockville, MD 20850 Citizenship: United States of America
Post Office Address: 13602 Gum Spring Drive, Rockville, MD 20850

Full Name of Sole or Third Inventor: **Liang Xu**

Inventor's Signature: _____ Date: _____
Residence: 186 Wild Ivy Court, Ann Arbor, MI 48103 Citizenship: China
Post Office Address: 186 Wild Ivy Court, Ann Arbor, MI 48103

PATENT
Attorney Docket No.: **UM-08477**

ASSIGNMENT

WHEREAS, I, Liang Xu, hereinafter referred to as "ASSIGNOR," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

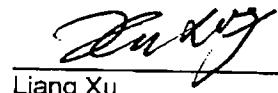
Title of Invention: **Small Molecule Antagonists of BCL-2 Family Proteins**
 Filing Date: **12/05/2003** Serial No.: **10/729,156**

WHEREAS, The Regents of the University of Michigan, a constitutional corporation duly organized and existing under the laws of the State of Michigan, and having a place of business at Wolverine Tower, Room 2071, 3003 South State Street, Ann Arbor, Michigan 48109-1280, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE, and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, or prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full extent and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge, and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's name to this Assignment on the date indicated below.

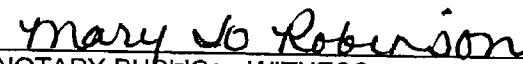


Liang Xu


 Date

STATE OF _____
 COUNTY OF _____
)
) ss.
)

On this _____ day of _____, in the year of _____, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNOR, known to me (or proven to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged that he/she/they executed the same.


MARY JO ROBINSON
 NOTARY PUBLIC OR WITNESS

SEAL

MY COMMISSION EXPIRES: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Confirmation No.: 1029
WANG <i>et al.</i>	Art Unit: 1617
Appl. No.: 10/729,156	Examiner: Hui, San Ming R.
Filed: December 5, 2003	Atty. Docket: UM-08477
For: Small Molecule Antagonists of BCL-2 Family Proteins	

Consent of the Assignee Under 37 C.F.R. § 1.48(a)(5)

Commissioner for Patents
 PO Box 1450
 Alexandria, VA 22313-1450

Sir:

The Regents of the University of Michigan, assignee of Shaomeg WANG, Dajun YANG and Liang XU's entire right, title and interest, (each) originally named inventors, consents to the changed in inventorship from **Shaomeng WANG and Dajun YANG** to **Shaomeng WANG, Dajun YANG and Liang Xu**. I have read and understand 37 C.F.R. § 10.18(b).

For: The Regents of the University of Michigan
 Signature: Ruth L. Raso
 Type or Print name: Ruth L. Raso
 Title: Director of Licensing
 Date: UM Technology Transfer

9 July 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: WANG <i>et al.</i> Appl. No.: 10/729,156 Filed: December 5, 2003	Confirmation No.: 1029 Art Unit: 1617 Examiner: Hui, San Ming R. Atty. Docket: UM-08477
For: Small Molecule Antagonists of BCL-2 Family Proteins	

Consent of the Assignee Under 37 C.F.R. § 1.48(a)(5)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The Georgetown University, assignee of Shaomeng WANG, Dajun YANG and Liang XU's entire right, title and interest, (each) originally named inventors, consents to the change in inventorship from **Shaomeng WANG and Dajun YANG to Shaomeng WANG, Dajun YANG and Liang Xu**. I have read and understand 37 C.F.R. § 10.18(b).

For: Georgetown University
Signature: 
Type or Print name: CARLA D. DeMARIA, Ph.D
Title: Interim Vice President
Office of Technology Licensing
Georgetown University
Date: 7-9-07